PTO/SB/21 (05-03)

August 20, 2003

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE · Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/644,106

TRANSMITTAL

FORM		First I	Named Inventor	Gijsbers et al.	
(to be used for all correspondence after initial filing)		Art Ur	nit	3763	
		Exam	iner Name	(TBA)	
Total Number of Pages in This Submission		Attorn	ey Docket Number	11738.00120	Ī
	ENCL	OSURES	(check all that apply)		_
		ment Pa Application		After Allowance Communication to Group	
Fee Attached	☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	Licensing-related Papers		ed Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final	Petition	1		Proprietary Information	
Affidavits/declaration(s)		to Conv		Status Letter	
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):	
		nal Disclaimer		Exp MI #EL941344756US	
☐ Express Abandonment Request ☐ Request		est for Refund		PTO/SB/08a form, Return Receipt Post Card	
Information Disclosure Statement	CD, Nu	mber of	CD(s)		
Certified Copy of Priority Document(s)	Rema	rks			
Response to Missing Parts/ Incomplete Application 19-0733. A		arge any	additional fees or cred	lit overpayment to Deposit Account No.	

Filing Date

1.52 or 1.53	
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT
Firm or Individual name	Banner & Witcoff, Ltd 10 S. Wacker Drive, Suite 3000 Chicago, II. 60606 Robert H. Resis (Reg. Np. 32,168)
Signature	This lof flows
Date	November 24, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Incomplete Application Response to Missing Parts under 37 CFR

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Attorney Docket No. 11738.0120)

In re A	application of:)	
	Gijsbers et al.)	
Serial	No.: 10/644,106)	Group Art Unit: 3763
Filed:	August 20, 2003)	Examiner (TBA)
For:	Brain Fluid Ion Concentration Modification for Treating Neurological Disorders)))	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

I

Pursuant to 37 C.F.R. §§1.97 and 1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. These references were cited in application Serial No. 10/174,257 and a copy of the cited references can be obtained from this prior application, upon which priority is claimed in the present CIP application. However, we will provide the references upon Examiner's request. We have also enclosed a completed copy of the PTO/SB/08a form.

U.S. Patent Documents

Patent Number	<u>Inventor</u>	Issue Date
1. 4,378,797 Banner & Witcoff, Ltd. Ten South Wacker Drive, Ste. 3000 Chicago. IL 60606	Osterholm 1	04-05-83

U.S. Patent Documents

	Patent Number	Inventor	Issue Date
2.	4,393,863	Osterholm	007-19-83
3.	4,445,500	Osterholm	05-01-84
4.	4,445,514	Osterholm	05-01-84
5.	4,445,886	Osterholm	05-01-84
6.	4,445,887	Osterholm	05-01-84
7.	4,445,888	Osterholm	05-01-84
8.	4,446,154	Osterholm	05-01-84
9.	4,446,155	Osterholm	05-01-84
10.	4,450,841	Osterholm	05-29-84
11.	4,451,251	Osterholm	05-29-84
12.	4,657,532	Osterholm	04-14-87
13.	4,686,085	Osterholm	08-11-87
14.	4,758,431	Osterholm	07-19-88
15.	4,795,423	Osterholm	01-03-89
16.	4,830,849	Osterholm	05-16-89
17.	4,840,617	Osterholm	06-20-89
18.	4,963,130	Osterholm	10-16-90
19.	4,981,691	Osterholm	01-01-91
20.	5,085,630	Osterholm	02-04-92
21.	5,711,316	Osterholm	01-27-98
22.	5,832,932	Elsberry et al.	11-10-98
23.	5,980,480	Rubenstein	11-09-99
24.	6,264,625	Osterholm	07-24-01
25.	2003/0004495	Saul	01-02-2003

Foreign Patent Documents

	Patent Number	Name of Patent or Applicant	Issue Date	
1.	WO 97/40871 A1	Medtronic, Inc	11-06-97	
2.	WO 98/02202	CSFluids, Inc.	01-22-98	

In accordance with MPEP §§ 609 and 707.05(b), it is requested that the documents cited be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing by the Examiner on Form PTO/SB/08a, so that it will appear on the face of the patent issuing on the present application.

Banner & Witcoff, Ltd. Ten South Wacker Drive, Ste. 3000 Chicago, IL 60606 312-463-5000

10644106 - GAU: 4111

The present Disclosure Statement is being submitted in compliance with 37

C.F.R. 1.56 as an Examiner might consider the cited documents important in deciding

whether to allow the application to issue as a patent, but the citation of such documents is

not to be construed as an admission that such documents are necessarily relevant or prior

art. No representation is intended that the cited documents represent the results of a

complete search, and it is anticipated that the Examiner in the normal course of

examination, will make an independent search and will determine the best prior art, and

in the course of such search, will review for relevance the documents cited on the

attached form even if not initialed.

The accompanying Information Disclosure Statement is being filed within three

months of the U.S. filing date or before the mailing date of a first Office Action on the

merits. No certification or fee is required.

Early and favorable consideration is earnestly solicited.

Respectfully submitted.

Bv:

Robert H. Resis

Registration No. 32,168

Banner & Witcoff, Ltd. Ten South Wacker Drive, Ste. 3000 Chicago, IL 60606 312-463-5000

Date: November 24, 2003

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Approved for use through (04/03/0203), OMB 0681-0031
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 1 of 1

			U.S. PATENT I	DOCUMENTS		
Examiner Cite Document Number		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
Initials *	No.1	Number - Kind Code ² (if known)			Passages or Relevant Figures Appear	
		4,378,797	04-05-83	Osterholm		
		4,393,863	07-19-83	Osterholm		
		4,445,500	05-01-84	Osterholm		
	T	4,445,514	05-01-84	Osterholm		
		4,445,886	05-01-84	Osterholm		
		4,445,887	05-01-84	Osterholm		
		4,445,888	05-01-84	Osterholm		
		4,446,154	05-01-84	Osterholm		
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		4,451,251	05-29-84	Osterholm		
		4,657,532	04-14-87	Osterholm		
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	1	4,795,423	01-03-89	Osterholm		
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		4,840,617	06-20-89	Osterholm		
		4,963,130	10-16-90	Osterholm		
		4,981,691	01-01-91	Osterholm		
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	T	5,980,480	11-09-99	Rubenstein		
		6,264,625	07-24-01	Osterholm		
		2003/0004495	01-02-2003	Saul		

	FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document	Document Name of Patentee of	Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
		WO 97/40871 A1	11-06-97	Medtronic, Inc		
		WO 98/02202	01-22-98	CSFluids, Inc.		

Examiner Signature	/Emily Wachtel/	Date Considered	11/26/2007

"EXAMINET: Initial If reference considered, whether or not citation is in conformance with MPEP 699. Daw line through citation in not nordinarrate and not considered. Include copy of this form with next communication to applicant 1 Applicants' unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at tww.usplo.gov or MPEP 901.04, 3 Enter Office that issued the document, by the two-letter code (WIPD Standard ST.3). 46 For Japanese patent documents, the indication of the year of the regin of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPD Standard ST. 16 if possible. 6 Applicant is to place a check mark here? I English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file and by the USPTO to process) an application. Confidentially is governed by \$5 U.S. C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including aghthering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the film and rich aggestions for reducing this burden, should be sent to the individual case. Any comments on the amount of time you require to complete the film and rich aggestions for reducing this burden, should be sent to the individual case. Any comments on the amount of time you therefore the film and the supplications for exclusing the state of the state



CERTIFICATE OF MAILING (PATENT)

Express Mail No. EL941344756US

Deposited November 24, 2003

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ву:

Patent Application of:

Title:

Gijsbers et al.

Brain Fluid Ion Concentration Modification For Treating

Serial No.

Neurological Disorders 10/644,106

Attorney Docket No.

11738.00120

X Transmittal Form, in duplicate

X Supplemental Information Disclosure Statement

X PTO/SB/08A form

X Return Receipt Postcard